We are now Refinitiv, formerly the Financial and Risk business of Thomson Reuters. We’ve set a bold course for the future – both ours and yours – and are introducing our new brand to the world.

As our brand migration will be gradual, you will see traces of our past through documentation, videos, and digital platforms.

Thank you for joining us on our brand journey.
Thomson Reuters Regulatory Change Management provides your organization with enhanced mapping capabilities and complete taxonomy support from our Thomson Reuters Regulatory Intelligence solution to ensure the right stakeholders never miss an important regulatory development.
Connect your regulatory change management processes

To gain a critical perspective to rule changes and help your organization reach better-informed business decisions, we can help you address the following challenges:

• Monitoring the continued rise in the number of regulatory developments

• Managing heightened pressure and shifting expectations from regulatory bodies

• Informing senior management and the board on how compliance impacts the business

CONFIDENTLY ADAPT TO THE GROWING RATE OF REGULATORY CHANGE

Compliance executives are faced with ongoing challenges in the current regulatory landscape: ever-changing rules, increased and oftentimes conflicting regulatory developments, heightened supervisory demands and consequences of non-compliance such as personal liability, significant fines and reputational damage to the business. There has been a growing emphasis placed on the adequacy of the frameworks organizations have in place to manage regulatory change, and at Thomson Reuters we’ve developed a robust, flexible and auditable process to help you meet your compliance needs.

INTRODUCING REGULATORY CHANGE MANAGEMENT

Thomson Reuters Regulatory Change Management provides a connected approach to help you meet regulatory requirements with greater ease and efficiency. Our solution empowers your organization to manage the impact of compliance risk with a more comprehensive and streamlined process. We also help you identify and reduce duplication of controls relevant to multiple regulations all in one solution, which minimizes your compliance team’s regulatory burden and maximizes efficiency.

Our enhanced, connected approach. Regulatory Change Management fully integrates content from our Thomson Reuters Regulatory Intelligence solution to bring a more critical perspective to rule changes and help you reach better-informed business decisions. Regulatory Intelligence cuts through the complexity and sheer volume of regulatory content by providing clarity on what is most important to your organization, with a team of subject matter experts who provide insight and analysis on regulatory developments that might affect your business.

The Regulatory Change Management product interface has been fully tested to ensure that the connection with Regulatory Intelligence is robust, adaptable and optimized to work seamlessly together from start to finish. With support from our professional services team, we can help assess the integration of third-party feeds and minimize the impact of any disruptions during product upgrades.

Forming part of our wider suite of workflow solutions, Regulatory Change Management can provide your organization with the enhanced functionality needed to improve how you approach the complexities of the ever-changing regulatory landscape.
ENHANCED USER EXPERIENCE FOR RULE MAPPING

Organizations face a continuous challenge: an increasing gap between requirements and resources, compounded by risk management systems that are not equipped to handle the volume of regulatory changes, conflicting requirements and disclosure processes. Regulatory Change Management provides your organization with enhanced mapping capabilities and complete taxonomy support from our Regulatory Intelligence solution to ensure the right stakeholders never miss an important regulatory development. You can track regulatory changes as they happen and inform relevant staff so they can assess and apply adequate resources. This joined-up approach between both solutions also allows for greater control of content routing options to ensure your team is kept up to date with all of the relevant developments.

Map your organizational structure and relevant policies to the rule

TURN REGULATORY CHANGE MANAGEMENT INTO A COMPETITIVE ADVANTAGE

Regulators are increasingly looking at the underlying processes of regulatory change management within an organization. When operating in a dynamic regulatory environment, having a robust framework to manage regulatory change saves you time and valuable resources – and increases your team’s productivity.

- At Thomson Reuters, we understand the unique challenges your organization faces and offer a simplified approach to regulatory change management that helps address your compliance needs
- We provide a connected solution that empowers you to make strategic business decisions in light of compliance risk factors; offering a streamlined approach to create repeatable and scalable processes to manage regulatory change
DRIVE EFFECTIVE BUSINESS DECISIONS THROUGH IMPROVED COMPLIANCE RISK MANAGEMENT

1. TRACK & MONITOR REGULATORY EVENTS
2. EVALUATE & IDENTIFY IMPACT TO THE BUSINESS
3. MAP & ASSIGN TO RELEVANT STAKEHOLDERS
4. IDENTIFY & CLOSE GAPS THROUGH ISSUES & ACTIONS
5. EVIDENCE A COMPLETE AUDIT TRAIL OF COMPLIANCE TO REGULATORS

DRIVE EFFECTIVE BUSINESS DECISIONS THROUGH IMPROVED COMPLIANCE RISK MANAGEMENT
Regulatory Change Management can help your organization foster a deeper understanding of the regulatory developments affecting day-to-day business processes and reduce your total compliance effort. Our comprehensive functionality enables your compliance team to:

- Map rules and regulatory requirements across your entire enterprise
- Use event assessment forms to identify the impact each rule has on the business
- Identify controls relevant to multiple regulations
- Create action plans and assign tasks to appropriate business owners
- Assign event-triggered emails to notify business owners of regulatory change

**Example of our Compliance Dashboard**

- **Use compliance and regulatory quick links** for easy access to key information for performing compliance tasks
- **Stay on track, with regulatory events and requirements** arranged by due date, including the needed steps to action and information on current status with the ability to update actions
- **Perform regulatory development assessments** and rate them by degree of risk to the business
- **Conduct impact assessments** and assign risk levels according to your organization’s assessment framework
Stay connected with comprehensive content from global rulebooks

The growing volume and complexity of regulatory change is a concern for organizations worldwide. With hundreds of regulatory alerts issued globally per day, it’s critical that firms manage their compliance risk – and resources – by adequately tracking these changes.

ANTICIPATE AND NAVIGATE THE GLOBAL REGULATORY ENVIRONMENT WITH CONFIDENCE

The integration of Thomson Reuters Regulatory Intelligence eliminates the compliance function’s need to manually track regulatory events on multiple supervisory websites and automatically connects rule alterations to your business workflow process.

We empower your organization with the information needed to make key decisions and better manage compliance risk by:

• Providing you with our unsurpassed breadth and depth of global regulatory coverage of over 750 regulatory bodies and more than 2,500 collections of regulatory and legislative materials
• Connecting you to targeted news, analysis and reference to rules, augmented by practical guidance from our global team of regulatory experts and journalists
• Updating you on regulatory developments, with advance notification of changes so you have time to proactively plan your responses
• Keeping you engaged in regulatory life-cycle tracking

PROVIDE EMPLOYEE TRAINING TO SUPPORT WELL-INFORMED DECISIONS

Thomson Reuters Compliance Learning provides your organization with practical, interactive and customizable compliance training courses to ensure employees are aware of their regulatory obligations and stay informed about internal policies and procedures.

Our global course library is powered by world-leading regulatory intelligence and supported in more than 42 languages. These courses enable organizations to educate staff on key areas, such as:

• Anti-money laundering
• Conduct risk
• Maintaining a respectful workplace
• Market conduct and fraud protection
• Prevention of corrupt practices
• Privacy, confidentiality and information security
• Risk management
With hundreds of regulatory alerts issued globally per day, it’s critical that firms manage their compliance risk – and resources – by adequately tracking these changes.
At a glance

Regulatory Change Management

BENEFITS

- Establish precise relationships between regulations and internal business structures, policies and risks
- Manage and share regulatory information, risks, policies, controls and management remediation data stored in a central library
- Enable data capture, workflow management and reporting to support compliance activities
- Document and manage impact assessments related to the applicability and importance of regulatory events
- Centralize issue management and remediation plan documentation for compliance-related processes
- Increase compliance transparency with robust, configurable reporting delivered through alerts, status reports and dashboards

Regulatory Intelligence

BENEFITS

- Global coverage of over 750 regulatory bodies and more than 2,500 collections of regulatory and legislative materials
- Targeted news, analysis and practical guidance from our global team of regulatory experts and journalists
- Customized “My Updates” delivering relevant regulatory authority notifications, pending events, analysis and practical guidance
- Exclusive taxonomy that targets critical upcoming regulatory events with powerful search functionality
- Community feature connecting you with your peers and a community of compliance and regulatory experts to unlock information
- Customizable regulatory events calendar ensuring your awareness of vital regulatory deadlines
- Enhanced reporting, allowing you to easily share information across your organization to support your internal audit practices

Compliance Learning

BENEFITS

- A suite of training solutions, available in 42 languages, provides an arsenal of compliance learning content to support a culture of compliance and effectively manage risk year-round
- Practical, interactive and customizable courses you can tailor to meet specific organizational regulatory requirements
- Interactive courses employ instructional design techniques optimized for risk and compliance training, encouraging compliant behavior
- Topics covered include anti-money laundering, conduct risk, market conduct and fraud protection, risk management and more
- Training compliance is easily demonstrated to stakeholders and regulators with audit-ready reporting
RISK MANAGEMENT SOLUTIONS FROM THOMSON REUTERS
Risk Management Solutions bring together trusted regulatory, customer and pricing data, intuitive software and expert insight and services – an unrivaled combination in the industry that empowers professionals and enterprises to confidently anticipate and act on risks – and make smarter decisions that accelerate business performance.

For more information, contact your representative or visit us online at risk.thomsonreuters.com