

REFINITIV® ALPHADESK

Bringing cloud-based order and portfolio management to the buy side

Refinitiv brings together an open and powerful end-to-end trading solution. For portfolio managers and traders, that means executing smarter, faster, and more efficiently, with flexible workflow, increased operational efficiency, and lower costs.

Refinitiv® AlphaDesk is a key component of Refinitiv's trading solution, offering cloud-based multi-asset class order management and portfolio management for the buy side, along with risk and compliance tools.

With AlphaDesk, Refinitiv supports your investment and trading workflow from start to finish. We assist with everything from portfolio management, to pre-trade data and analytics via our financial platform Eikon, to trade execution using our award-winning Refinitiv® REDI® EMS (execution management system), and post-trade capabilities that include settlement, reconciliation and risk management. And we connect you efficiently to counterparties around the globe with Refinitiv® Autex® Trade Route – one of the world's largest FIX order routing networks.

Why AlphaDesk?

- Gain a **flexible system** that works with multi-vendor data feeds and multiple electronic trading systems, as well as custodians/prime brokers and fund administrators
- Manage all your firm's orders in a **single place**
- Track P&L exposure in **real time** with a choice of over 100 fields and flexible groupings
- Get **comprehensive support** for all asset classes and security types, listed and OTC
- Monitor portfolios and trades from outside the office with our **tablet or mobile device** support
- Deploy, monitor and upgrade software via **the cloud**
- Use our **highly configurable software functions and APIs** to create interoperability with other vendor applications and internal systems
- Get help from our **managed service support staff**, who monitor your trading ecosystem, including FIX connections

Capabilities

- **Order Management**
Manage all your firm's orders in a single place. Send orders to hundreds of destinations and receive fills in real time. AlphaDesk integrates with major execution systems
- **Portfolio Rebalancing**
Rebalance portfolios against other portfolios or a model portfolio; useful for applying subscriptions to a portfolio
- **Risk Management**
Assess risk at different stages across the trade process along performance and other portfolio metrics. Risk management includes Value at Risk, Scenario Analysis, Liquidity Risk and Interest Rate Risk
- **Commission Management**
Assign commission rates based on user-defined trade and security parameters
- **Real-Time Portfolio Monitoring**
View real-time updates of the profit contribution of positions
- **Full Portfolio Accounting**
Access the general ledger and chart of accounts
- **Compliance**
Set up rules to verify compliance with fund mandates, internal policies and regulations. Verify compliance before or after trades are executed. Support for 40 Act/40 Act Liquid Alt and UCITS. Support for regulatory reporting including MiFID II
- **Shadow NAV**
Calculate an accurate net asset value including subscriptions, accruals and dividends across currencies and security types
- **Historical Reports**
See detailed trading history, run P&L reports with different tax regimes, do multi-period profit attribution, create customized reports, analyze commissions and net return estimates, and more

ALPHADESK Multi-Strategy NAV: 930,258,227
 Day: -8 bp Month: 471 bp Net: 24 % Gross: 181 %

SECURITY	DESCRIPTION	QUANTITY	PRICE	CHANGE PCT	CHANGE BPS NAV	DAY PROFIT	EXPOSURE PCT NAV	EXPOSURE	VALUE	
OPPORTUNISTIC						0.0	0	1.79 %	16,688,088	16,678,837
BIR.CA	BIRCHCLIFF ENERGY LTD	221,500	11.20	0.00 %	0.0	0	0.24 %	2,271,172	2,271,172	
FNV.CA	FRANCO-NEVADA CORPORATION	-9,800	66.41	0.00 %	0.0	0	-0.06 %	-595,824	-595,824	
NKO.CA	NIKO RESOURCES LTD	35,000	1.92	0.00 %	0.0	0	0.01 %	61,522	61,522	
M PRE.CA	PACIFIC RUBIALES ENERGY	500,000	10.18	0.00 %	0.0	0	0.50 %	4,659,895	4,659,895	
SEO.CA	STORM EXPLORATION INC.	400,000	28.02	0.00 %	0.0	0	1.10 %	10,260,924	10,260,924	
FNV.VT.C	FRANCO-NEVADA \$20 WARRANT A...	500	46.20	0.00 %	0.0	0	0.00 %	30,399	21,148	
GROWTH						0.0	1	2.36 %	21,966,465	22,018,672
HF.CA	HANFENG EVERGREEN	534,400	0.71	0.00 %	0.0	0	0.04 %	347,363	347,363	
B BB 20 PUTS AUG 2	BLACKBERRY AUG 20 PUTS 2014	350	1.63	0.00 %	0.0	0	0.00 %	0	52,207	
F.US	FORD MOTOR CO	280,000	17.42	0.00 %	0.0	0	0.52 %	4,877,600	4,877,600	
GOOG.US	GOOGLE INC	5,260	574.78	0.00 %	0.0	0	0.33 %	3,023,343	3,023,343	
STX.US	SEAGATE TECHNOLOGY	100,000	57.93	0.00 %	0.0	0	0.62 %	5,793,000	5,793,000	
TTM.US	TATA MOTORS LTD (ADR)	187,800	42.20	0.00 %	0.0	0	0.85 %	7,925,160	7,925,160	
FUNDAMENTAL VALUE						0.2	17,369	4.96 %	46,119,327	46,124,761
ABX.US	BARRICK GOLD CORP	75,000	20.82	0.00 %	0.0	0	0.17 %	1,561,500	1,561,500	

SECURITY	ORDER	ORDER QUANTITY	ORDER STATUS	FILLED	ALLOCATION METHOD	INF DATE	BROKER	LEG1 ID	LEG2 ID	TIME IN FORCE	TRADE ID	STRATE
TM.US	Buy	3,200.00	PreAllocated	1,600.00	Balance Percent.	04/30/2014	BARCLAYS	0	0	Day	1183	FUNDAM
PG.US	Sell	381,800.00	PreAllocated	200,000.00	Use Pre-Allocati...	06/05/2014	MORGAN STANLEY	0	0	Day	1188	
MFI.CA	Buy	18,500.00	PreAllocated	12,000.00	Use Pre-Allocati...	06/05/2014	MULTI	0	0	Day	1189	EVENT DR

SECURITY	ORDER	ORDER QUANTITY	FILLED	AVERAGE PRICE	ALLOCATED QUANTITY	BROKER	COMMISSION	COMMISSION TYPE	ORDER STATUS	SETTLE AMT PER UNIT	TI
TM.US	Buy	1,600.00	1,600.00	107	.00	BARCLAYS	3.00	Cents Per Share	Filled	107.08	04/30
PG.US	Sell	381,800.00	200,000.00	77	.00	MORGAN STANLEY	.00	Cents Per Share	Filled	77.30	06/05
MFI.CA	Buy	10,000.00	5,000.00	13	.00	TD SECURITIES	.00	Cents Per Share	Filled	13.00	06/05

The configurable AlphaDesk Portfolio grid

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 DATA IS JUST THE BEGINNING[®]