

We are now Refinitiv, formerly the Financial and Risk business of Thomson Reuters. We've set a bold course for the future – both ours and yours – and are introducing our new brand to the world.

As our brand migration will be gradual, you will see traces of our past through documentation, videos, and digital platforms.

Thank you for joining us on our brand journey.



REFINITIVTM

The Refinitiv logo, which is a blue L-shaped graphic consisting of a vertical line on the left and a horizontal line on the top, pointing towards the bottom-right.

Thomson Reuters Messenger Compliance

TRMC Policy Management

Thomson Reuters Messenger Compliance (TRMC) is a hosted service that collects all conversations and exchanges of information via Thomson Reuters Eikon Messenger for regulatory and compliance purposes. All user activity and related content is captured and compiled on a per company location account basis each of which has a unique TRMC ID.

POLICY MANAGEMENT SERVICE OVERVIEW

Our Policy Management tool enables you to actively control the flow of information between users by assigning users to groups and creating information boundaries that regulate groups' interactions.

Policy Management can also be used to block or warn about keywords or phrases, configure customized disclaimers and policy description messages, and to provide real time email alerts to notify compliance or key personnel of any attempts by your users to violate your controls.

Access to the Policy Management tool is via a secure web-based interface.

DEFAULT POLICIES AND CONTROLS

Automatic Bilateral chat policy enforcement

Bilateral chat systematically restricts communication to users from no more than two organizations, powered by Thomson Reuters Legal Entity Data. All validated legal entity changes are automatically applied across the Eikon Messenger service.

Confidence in the counterparty

Thomson Reuters screens and validates all new account requests for its Eikon Messenger service using Thomson Reuters Accelus World Check-One, a consolidated sanctions database to reject requests from sanctioned parties or embargoed countries.

CONTROLS CONFIGURABLE VIA POLICY MANAGEMENT



Ethical Boundaries

Assign users to groups and create information boundaries ("ethical walls") between group members to block all 1:1, chat room, bilateral chat, and file communications.



Keyword Controls

Control the conversations – build lists of keywords or phrases that you want to restrict or prohibit e.g. profanities, restricted lists and gifts & entertainment policies.



Violation Attempt Alerting

Receive real-time email alerts of any attempts by your users to violate any of your firm's rules.



Domain Based Enforcement

Easily control communications with all users whose identities include specific domains.



Customized Policy Messages

Build customized policy description messages to inform users why you are blocking their messages.



Custom Disclaimers

Implement customized firm-wide or group-specific disclaimers that will be delivered to counterparties whenever your users initiate or first respond to an inbound message.

NEXT STEPS

To request more information about TRMC Policy Management please contact:
compliance.bizops@thomsonreuters.com

EIKON MESSENGER

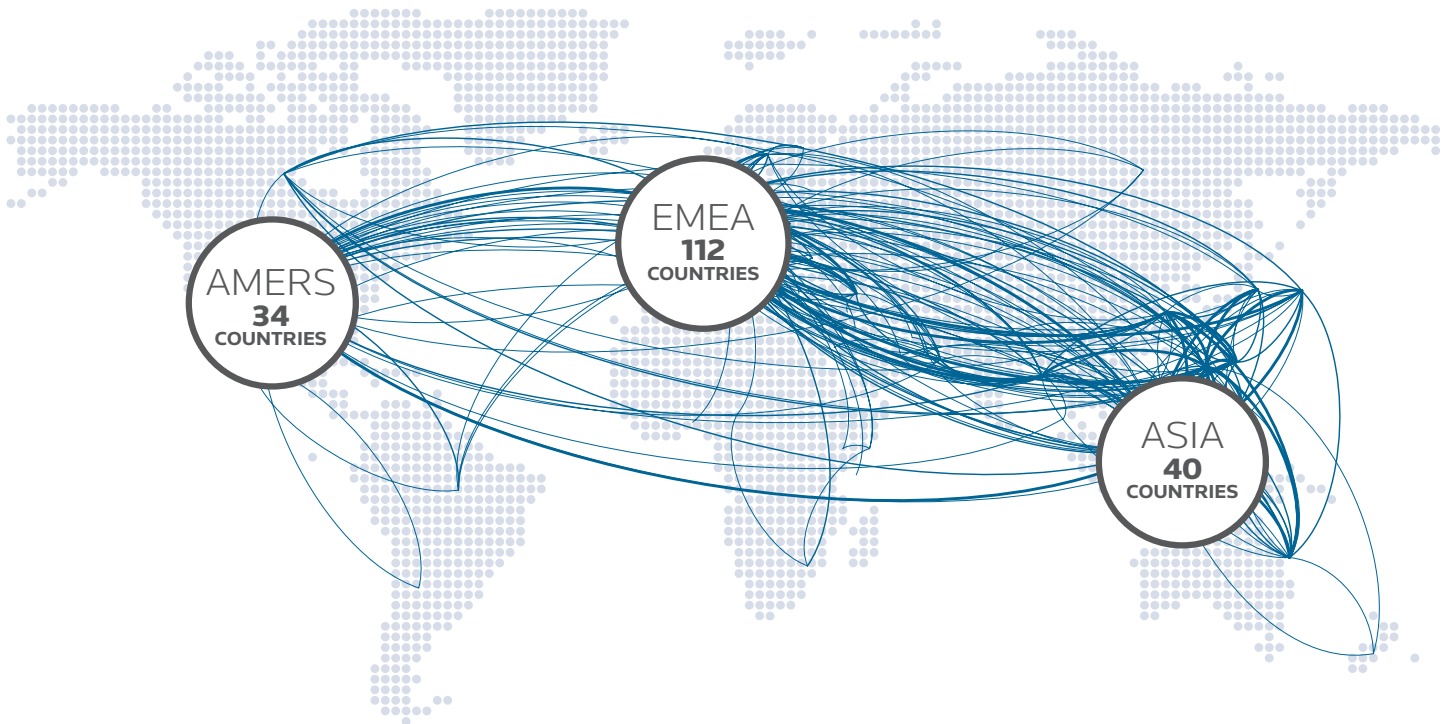
180+
COUNTRIES IN
WHICH WE HAVE
MESSENGER USERS

300K+
USERS ARE IN
THE MESSENGER
DIRECTORY

30K+
FIRMS

LOOK WHO'S TALKING:

GLOBAL MESSAGING TRAFFIC AND HIGHEST VOLUME CENTERS



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For more information, contact your representative or visit us online.

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