

QUOD FINANCIAL – SELL-SIDE OMS

Multi-asset trading and automation

Quod Financial and Refinitiv offer a fully integrated data and trading solution for the front and middle office, reducing costs and improving automation from trade to settlement. Our real-time intelligence allows traders to optimize all aspects of their trading and operations, as well as leverage our multi-asset solution to consolidate and lower costs.

Care order management and high touch workflows

The high-touch care management module supports full client life cycle and execution capabilities for the sell side, including:

- Orders inbox/transfer/desks
- DMA/splitting/algos (internal/external)
- Alerting, care orders, import, allocations
- Books, account groups, delegation
- Baskets, bagging, pair trading, IOI, adverts

Order routing (SOR) and management

Powerful automation, SOR, algo suite with over 450+ configurable parameters:

- Rules-based validation of orders
- Preset rules or dynamic rules which use real-time data
- Low touch FIX order management and automation
- Defining the best execution policies/strategies
- Full broker algo support
- Trade reporting and market order tracking

Risk management (extensive price checks and position keeping)

Leverage a front office pre-trade position keeping engine:

- Fat finger checks
- Volume checks
- Trading limits
- Cumulative/position limits
- Margin management
- Kill switch
- Net Open Position (NOP)
- Daily Settlement Limits (DSL)
- Pending orders, order size and order rate by instrument

Middle office and benchmarking

Booking, allocations and confirmations, with access to pre- and post-trade allocations for care orders and low-touch FIX order flows, which provide insight on:

- P&L calculations and view of positions and P&L by different view per desk
- Trade confirmation, booking, fees and commissions
- Commission calculations and management module
- Allocations, reconciliation and trade netting by CCY, value date and more
- CTM matching and confirmation, PSET management
- Give up management

Stay ahead of the markets with advanced analytics and Transaction Cost Analysis (TCA)

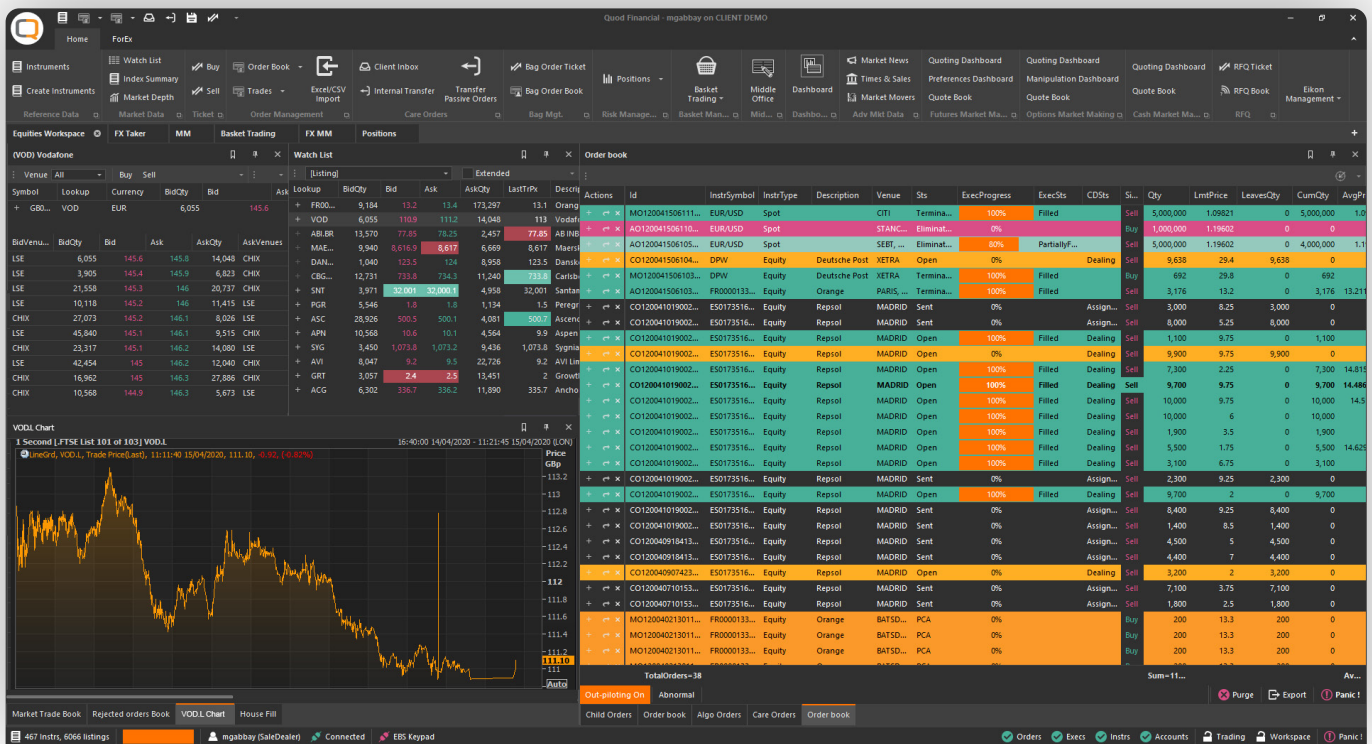
Monitor your execution performance with TCA and benchmarking tools:

- MiFID II compliant execution and reporting
- Execution performance and behavior
- Post-trade and instantly exploitable pre-trade analysis
- Powerful visualization of the executions and context
- Benchmarking capabilities on all flow

Comprehensive integration and connectivity (multiple rate sources)

Ensure your trading strategies are executed across any venue you demand with our native API/protocol exchanges, independent software vendor (ISV) connectors, market data feed-handlers, and connectors to upstream and downstream systems:

- Exchanges, ECNs and MTFs gateway
- Broker networks
- FIX engine and FIX network integrations
- IOI networks
- Internal system and office integration
- Multiple APIs for trading



For more information, please contact your Refinitiv Account Manager.

Refinitiv is one of the world's largest providers of financial markets data and infrastructure, serving over 40,000 institutions in approximately 190 countries. It provides leading data and insights, trading platforms, and open data and technology platforms that connect a thriving global financial markets community – driving performance in trading, investment, wealth management, regulatory compliance, market data management, enterprise risk and fighting financial crime.

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