Refinitiv Regulatory Change Management, a solution on our Connected Risk platform, empowers organizations via a connected and holistic approach to this process, enabling your compliance team to:

- Track hundreds of regulators across global geographies and jurisdictions
- Schedule periodic risk assessments and impact analyses of regulatory events and requirements
- Map these to policies and procedures impacted by these requirements
- Create action plans; assign tasks to business owners
- Empower compliance workflow to address regulatory needs
- Evidence risks and controls to management and regulators

A robust regulatory change management framework enables organizations to better adapt to rule changes. Our award-winning Regulatory Change Management solution, empowers your compliance team to actively manage change in a connected and structured manner with both speed and precision.

Confidently adapt to the growing rate of regulatory change

The growing volume and complexity of regulatory change is a concern for organizations worldwide. With the rate of regulatory alerts issued continually increasing, it’s critical that firms manage their compliance risk – and resources – by adequately tracking these changes.

Regulatory Change Management offers enhanced content integration and mapping capabilities to ensure your compliance teams don’t miss a relevant regulatory development. Content can be seamlessly integrated from third party data feeds, ensuring teams receive the essential information needed to interpret emerging legislation and regulation.

This approach helps ensure the relevant stakeholders receive alerts about regulatory events and rule changes directly to their email and personal dashboard, prompting them to conduct impact assessments.

Identify regulatory change that impacts the business and review policies

A core part of any regulatory change management process is performing impact assessments to determine the applicability of the event to your business and assess compliance risk. With our solution, your organization can undertake impact assessments on the relevant business units, controls, policies and procedures.

To ensure your organization has the right policies in place and knows which policies need to be updated, Regulatory Change Management helps your compliance team:

- Connect policies to rules, policy details and roles
- Attach documents relating to policies and link to other related rules

Achieve clear compliance with effective reporting

Regulatory Change Management connects your organization to the ever-changing regulatory environment, helping you foster a deeper understanding of the rule changes affecting day-to-day business processes. In an effort to clearly outline what steps were taken during the change management process, as well as evidence risk and controls, this solution enables you to identify what compliance decisions were made across the business with a full audit trail.

As part of our reporting capabilities, your compliance team is able to:

- Identify overdue items and critical data by status
- View regulatory change as it occurs, by status, region, sector, theme and level of risk
- Understand how regulations relate to particular business units and identify which policies are in place to manage compliance risk

The regulatory environment is rapidly evolving, creating compliance risks and operational challenges for organizations. We understand that to stay compliant and adequately assess the impact of changing regulations, you need confidence that emerging regulatory rules are mapped to your firm’s policies and procedures.
Receive a simplified implementation process

Our professional services team understands that the implementation process of a solution has to have as low an impact as possible on the compliance team’s day-to-day activities. Our team will explain exactly what is required of the compliance team, complete with timelines. This implementation program is designed to have minimal impact, and part of that is assurance that the organization will know exactly what is required and when, so team members can easily fit this project in with their everyday business activities.

Your organization’s compliance activities within the solution can easily be extended to areas such as regulatory exams, compliance and control reviews, and certifications. More tailored options are available as your approach to regulatory change management evolves. The solution is also built on a flexible, open platform, and our professional services team is on hand to help you assess the integration of third-party feeds.

Integrated Reporting

Connected Risk provides sophisticated integrated reporting that can be applied to all solutions that sit on the Connected Risk platform. This offers a comprehensive and advanced business intelligence reporting capability that allows your teams to build and embed visually appealing and interactive reports on their Connected Risk dashboards.

The following are some of the key benefits:

- Centralize data designs and report management requirements
- Build data designs using Connected Risk elements
- Manage, publish, and organize reports to support the needs of specific user communities
- Enable users to reformat and filter reports to articulate specific insights and areas of focus
- Automatically extract reports to PowerPoint and other presentation formats
- Export your charts to Excel, including the underlying data set, enabling additional reporting options