We are now Refinitiv, formerly the Financial and Risk business of Thomson Reuters. We’ve set a bold course for the future – both ours and yours – and are introducing our new brand to the world.

As our brand migration will be gradual, you will see traces of our past through documentation, videos, and digital platforms.

Thank you for joining us on our brand journey.
Regulatory Change Management

Every year tens of thousands of regulatory actions, enforcement cases, interpretive rulings and guidance documents are issued around the world. Keeping up with regulatory change information, let alone understanding how it impacts your organization, is simply not possible without the right processes and technology.

In this illustration, we show the value of defining your regulatory change management (RCM) profile and employing a system that monitors and maps every potential change to affected areas of your risk and compliance programs.

**CONTENT TYPES:**

- Regulatory Change Management
- Apply Regulatory Content Taxonomy
- Establish Risk Based Regulatory Library

**EXECUTIVE**
- Orders
- Reports
- Speeches & Announcements
- Agency Opinions
- Treatises

**LEGISLATIVE**
- EU Directives
- Checklists & Forms
- Committee Activity
- Pending Bills

**REGULATORY**
- Issued Regulations
- Proposals
- Public Notices
- Enforcement Actions
- Expert Analysis

**EDITORIAL**
- Summaries
- Handbooks
- Updates & Newsletters
- Special Reports
- Country Guides

**SECONDARY SOURCES**
- Regulatory Library
- Comparisons
- Track Relevant Regulatory Action
- Maintain Registry Library

**INTERNAL**
- Products
- Policies
- Taxonomy

**HOT TOPICS**
- Themes
- Topics
- Geography

**IDENTIFY & DEFINE**
- Develop Company Profile
- Apply Regulatory Content Taxonomy
- Establish Risk Based Regulatory Library

**REPORT & RECORD**
- Continuously Monitor and Analyze
- Report Key Changes and Business Impacts
- Maintain Audit Trail of Compliance

**MONITOR & MAP**
- Map Regulatory Events to Affected Areas
- Evaluate Need for Control/Actions Changes
- Notify/Control Policy Owners

**CONTROL & MANAGE**
- Evaluate Flagged Impacts on Controls/Actions and Operations
- Determine Changes, Implement and Record
- Identify Exceptions and Record

**SUCCESS FACTORS**
- Regulatory content taxonomy organized by geography, sector, content type and defined themes
- Mapping of regulatory content taxonomy to internal taxonomies for organization structure, products, policies and controls
- Real time tracking of thousands of varied sources and types of content
- Flagging of proposed and final regulatory changes
- Risk profiling of the organization
- Expert analysis for impact based on company profile
- Automatic notification to affected policy/control and business process owners
- Tracking of responding policy/control changes
- Audit trail throughout process
- Enhanced reporting capabilities

**MONITOR**
- Every affected policy and control owner is getting automated notifications. We can see similarities and conflicts in the regulations.
- We've compared the changes across geographies where we operate, so we can see similarities and conflicts in the regulations.

**MAP**
- Every affected policy and control owner is getting automated notifications to look at the end-impact action.
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**RCM CRITICAL SUCCESS FACTORS**

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